

# Executive Council

## SUMMARY

### DEPARTMENT

We have completed our follow-up audit on the Department of Executive Council's system to manage contracts.<sup>1</sup> We repeat our recommendation—see page 53.

## OUTSTANDING RECOMMENDATIONS

### DEPARTMENT

The following recommendations are outstanding and not yet ready for follow-up audits:

#### **Assess risk and improve oversight—October 2012, no. 11, p. 62**

We recommend that Executive Council:

- assess the risks to public information assets throughout the government
- determine if the government has adequate IT security policies, standards and controls to mitigate risks
- determine who is responsible and accountable to ensure that public information assets are adequately protected. Specifically:
  - who is responsible for monitoring compliance with IT security requirements
  - who is responsible for ensuring or enforcing compliance with security requirements
  - what actions should be taken when non-compliance is identified
  - how is compliance to security requirements demonstrated

#### **Contracting processes: Improve contracting processes—October 2016, no. 8, p. 55 (originally October 2014, no. 10, p. 62)**

We again recommend that the Department of Executive Council improve its contracting processes by documenting:

- the rationale for contracting services and selecting vendors when entering into sole-sourced contracts
- its assessment of whether proposed contract rates are reasonable, and ensuring contracts are authorized and in place before contracted services are received

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<sup>1</sup> *Report of the Auditor General of Alberta—October 2014*, page 57.

