

Infrastructure

Status of recommendations

Total	Status of Recommendations			
	Ready for Assessment	Not Ready for Assessment	>3 Years	<3 Years
4	0	4	0	4

Recommendation summary (by report, newest to oldest)

Report Title	Number of Recommendations
<ul style="list-style-type: none">• Procurement Processes—June 2022<ul style="list-style-type: none">› Improve controls for ensuring compliance with trade agreements› Improve controls for receiving submissions› Improve access controls for procurement information systems› Improve submission evaluation controls	4
Total	4

Detailed recommendation list (by report, newest to oldest)

Recommendation	When	Status
<p>DEPARTMENT Procurement Processes:</p> <p>Improve controls for ensuring compliance with trade agreements</p> <p>We recommend the Department of Infrastructure improve its controls to ensure solicitation documents and posting periods comply with trade agreements.</p> <div style="border: 1px dashed gray; padding: 5px;"> <p>Consequences of not taking action: If proponents are not provided with adequate time to prepare their submissions, including bid prices, it could result in withdrawals by proponents and decreased competition or proponents may price this risk into their bid.</p> <p>The inclusion of manufacturers in solicitation documents without allowing equivalents may result in unequal opportunities for companies to bid on public procurements, which may lead to less competition and increased contract costs.</p> <p>Proponents may sue Alberta Infrastructure for non-compliance with the trade agreements which could result in financial penalties or reputational damage.</p> </div>	<p>June 2022, p. 11</p>	<p>Not Ready for Assessment</p>
<p>DEPARTMENT Procurement Processes:</p> <p>Improve controls for receiving submissions</p> <p>We recommend the Department of Infrastructure ensure its controls for verifying that it receives electronic submissions on or before the procurement close are operating appropriately.</p> <div style="border: 1px dashed gray; padding: 5px;"> <p>Consequences of not taking action: Ineffective controls over the receiving of submissions increase the risk that Alberta Infrastructure may not accurately assess if it received proponent submissions on time, undermining the integrity and credibility of Alberta Infrastructure’s procurement process. The absence of effective controls could result in unfair practices, which could lead to proponents pursuing legal action against Alberta Infrastructure.</p> </div>	<p>June 2022, p. 13</p>	<p>Not Ready for Assessment</p>

Recommendation	When	Status
<p>DEPARTMENT Procurement Processes:</p> <p>Improve access controls for procurement information systems</p> <p>We recommend the Department of Infrastructure improve its access controls for its procurement information systems.</p> <p>Consequences of not taking action: Without adequate access controls, there is a risk employees may access confidential information, including bid prices and evaluation information, and share that information inappropriately with proponents. The inappropriate sharing of confidential information could result in an unfair advantage, undermining the credibility and integrity of the procurement process.</p>	June 2022, p. 14	Not Ready for Assessment
<p>DEPARTMENT Procurement Processes:</p> <p>Improve submission evaluation controls</p> <p>We recommend the Department of Infrastructure improve its controls for:</p> <ul style="list-style-type: none"> • verifying compliance with request for proposal requirements • identifying potential conflicts of interest • ensuring evaluation comments are adequately documented <p>Consequences of not taking action: Alberta Infrastructure may not be able to demonstrate that its procurement practices are fair.</p> <p>Inconsistent practices can result in proponents being unfairly disqualified or potentially awarded contracts despite not meeting requirements. Even the perception of unfair practices could undermine the credibility of the procurement process and lead to legal action against Alberta Infrastructure, potentially resulting in financial and reputational consequences.</p>	June 2022, p. 17	Not Ready for Assessment